

## NQR IN HIGH $T_c$ -SUPERCONDUCTORS

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Results on NQR are discussed. A theory is presented determining the NQR-frequencies  $\nu_Q$  for Cu(1) and Cu(2) in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$ , and also the asymmetry parameter  $\eta$  of the EFG tensor. The significance of the temperature-dependence  $\nu_Q$  is discussed.

### 1. Introduction

High- $T_c$ -superconductors like  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ,  $\text{YBa}(\text{Cu}_{1-x}\text{M}_x)_3\text{O}_{4-y}$ ,  $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$ ,  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$  remain a puzzle. In these systems superconductivity occurs in the  $\text{CuO}_2$ -planes. However, what is the mechanism for superconductivity (singlet Cooper-pairs)? Are charge (or spin-) fluctuations involved, what is the origin of anti-ferromagnetism ( $T_N(n_h)$  in  $\text{La}_2\text{CuO}_4$ , in  $\text{Nd}_2\text{CuO}_4$ )? What is a good theory for the strongly correlated electronic systems (electronic-, atomic-structure)? NMR (Knight-shift  $K \sim \text{Im}\chi(q, \omega)$ ), NQR ( $\nu_Q(T) \sim \text{EFG}$ ), and spin-lattice relaxation (reflects Cu-spin dynamics) are good tools to probe (local) electronic structure (charge fluctuations  $\leftrightarrow$  EFG,  $\dots$ ), interplay of magnetism and superconductivity, role of holes at Cu-, O-sites, and oxygen distribution (s.  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$ ). In particular, temperature dependence of  $\nu_Q(T)$ , Knight-shift, and spin-lattice relaxation  $T_1^{-1}$  reflect the elementary excitations, the coupling of charge-fluctuations and spin-fluctuations, and the induced

CuO-bond-length changes. Regarding the experimental situation one notes the following<sup>1</sup>: (a) Similar behaviour of  $\nu_Q, K, T_1^{-1}$  in all high- $T_c$  superconductors, (b) BCS-like behaviour, of superconductivity presumably singlet Cooper-pairs, no precursor (Cooper-pairs) behaviour above  $T_c$ , (c) Anomalous behaviour of  $\nu_Q(T)$  for  $T \rightarrow T_c$ , and a strong dependence of  $\nu_Q$  on oxygen content, s.  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  for  $y = 0 \rightarrow 1$ .

The Knight-shift,  $K = K_{orb} + K_s$ , with  $K_s \rightarrow 0$ , for  $T \rightarrow 0$ , below  $T_c$  exhibits strong anisotropy, mainly for  $K_{orb}$  the orbital contribution to  $K$ .

The Spin-lattice relaxation with  $T_1^{-1} \propto \sum_q \chi''(q, \omega)$  reflects sensitively electronic correlations and has no peak below  $T_c$  as in BCS-theory. Furthermore,  $T_1^{-1}$  is strongly enhanced at Cu-sites, but not at O-sites (due to electronic correlation). A theoretical understanding of the temperature-dependence of the various quantities is presently not clear.

Regarding the situation in theory, a typical example is the discussion of an electronic theory for the NQR-frequencies  $\nu_Q(T)$  in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  (for  $y = 0, y = 1$ ) for the Cu(1) and Cu(2) atoms. As shown in the table,  $\nu_{Q1}, \nu_{Q2}$  depend sensitively on the hole distribution for d-orbitals at Cu-sites.

In the following we concentrate on discussing the determination of the NQR-frequencies  $\nu_{Q1}$  and  $\nu_{Q2}$  of Cu(1) and Cu(2) atoms in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$ , for  $y = 0$  and  $Y = 1$ .<sup>2</sup>

## 2. Theory

NQR frequencies result from the coupling of Nuclear Quadrupole Moment to Electric Field Gradient (EFG),  $\nu_Q \sim V_{ij}, V_{ij} = \frac{\partial^2 V}{\partial x_i \partial x_j}$ . Note, the EFG is a tensor with principal axis x,y,z. The EFG characterized by the z-axis and asymmetry parameter  $\eta = (V_{xx} - V_{yy})/V_{zz}$ . Note,  $B_{Hf}$  (hyperfine field may shift NQR frequencies) due to the magnetic moments  $\mu(\text{Cu})$ . EFG acts at Cu(1), Cu(2) sites, s. Fig. 1, and has (1.) ionic contribution due to lattice of ion-charges, treated like point-charges,  $\nu_Q^{ion}$ , and (2.) electronic contribution due to the deformation of the d-electron shell in the orbitals  $3d_{x^2-y^2}$ , etc. at Cu-sites,  $\nu_Q^{el}$ . Thus, one finds for the NQR-frequencies

$$\nu_Q = \left\{ \frac{e^2}{2} Q (1 - \gamma_\infty) q_{zz}^{ion} + q^{val} \right\} \left( 1 + \frac{\eta^2}{3} \right)^{1/2} \quad (1)$$

In Eq. (1)  $Q$  refers to the quadrupole moment,  $\gamma_\infty$  to the Sternheimer antishielding factor,  $\eta$  to the asymmetry factor. The contributions  $q_{zz}^{ion}$  and  $q^{val}$  denote the

**Table 1:** NQR results for  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$ . The ionic contribution to the NQR-frequencies is calculated for a lattice of point charges. The d-electrons EFG contribution  $q^{val}$  is estimated with the help of experimental results for  $\nu_Q$  in  $\text{Cu}_2\text{O}$  and  $\text{La}_2\text{CuO}_4$ .  $B_{Hf}$  refers to the hyperfine field.

	$\text{YBa}_2\text{Cu}_3\text{O}_6$	$\text{YBa}_2\text{Cu}_3\text{O}_7$
$[\frac{e^2}{2}Qq_{zz}^{ionic}(1 - \gamma_\infty)]_1$	4.86MHz	-32.36MHz
$[\frac{e^2}{2}Qq_{zz}^{ionic}(1 - \gamma_\infty)]_2$	-22.5MHz	-18.9MHz
$(q^{val})_1$	0.0MHz	52.1MHz
$(q^{val})_2$	45.4MHz	47.1MHz
$\eta_1(\eta_1^{exp})$	0.0(0)	0.67( $\sim 1$ )
$\eta_2(\eta_2^{exp})$	0.0(0)	0.07(0.01 $\div$ 0.1)
$\nu_{Q1}(\nu_{Q1}^{exp})$	31.5(30.1)MHz	21.2(22.0)MHz
$\nu_{Q2}(\nu_{Q2}^{exp})$	22.87(22.87)MHz	28.0(31.5)MHz
$(B_{Hf})_1$	< 0.01T	< 0.02T
$(B_{Hf})_2$	7.66T	< 0.02T

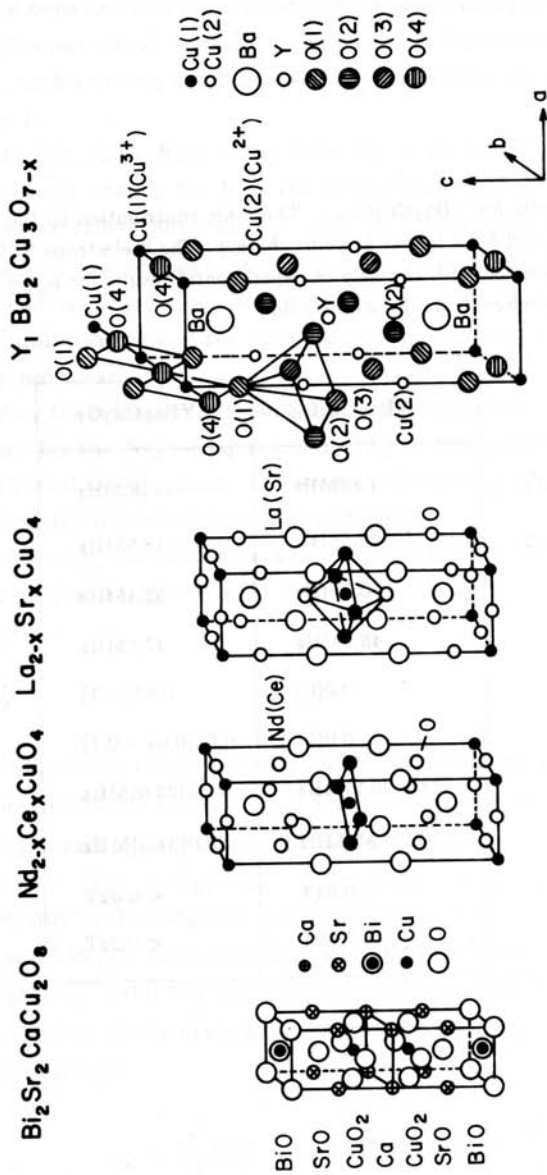


Fig. 1: Lattice structure of some high- $T_c$ -superconductors.

EFG contribution due to the lattice of ionic point charges (calculated using Evjen-method) and due to the incomplete, distorted d-shell at Cu-sites. The z-axis is obtained from the component  $V_{ii}$  which is largest. The electronic contribution to  $\nu_Q$  is given by

$$q^{val} = \frac{1}{2}(1 - R)e^2 Q q_{zz}^{val} \quad (2)$$

with (A=const.)

$$q_{zz}^{val} = A \langle r^{-3} \rangle_{3d} \{n_h(3d_{3z^2-r^2}) - n_h(3d_{x^2-y^2}) - n_h(3d_{xy}) + \frac{1}{2}n_h(3d_{xz}) - \frac{1}{2}n_h(3d_{yz})\} \quad (3)$$

The derivation of this important equation is straightforward<sup>1,2</sup>. Eq.(3) exhibits the sensitive dependence of the NQR-frequencies on the d-hole distribution.  $n_h(3d_{xy})$  denotes the number of holes in the  $3d_{xy}$  orbital of Cu(1) or Cu(2), etc.

Note, if high  $T_c$  compounds had strong ionic character in  $\text{CuO}_2$ -planes, then

$$q^{val} \approx 0$$

as in  $\text{Cu}_2\text{O}$ , for example. However,  $q^{val} \approx 0$  disagrees strongly with experiment. Thus, we conclude  $q^{val} \neq 0$  is an important contribution to EFG or  $\nu_Q$  in high  $T_c$ -compounds.

In the following we calculate  $\nu_{Q1}$  and  $\nu_{Q2}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_6$  and  $\text{YBa}_2\text{Cu}_3\text{O}_7$  using Eq. (1) - (3). For this we use also experimental results for  $\nu_Q$  in  $\text{Cu}_2\text{O}$  with  $\text{Cu}^+$  and  $\text{La}_2\text{CuO}_4$  with  $\text{Cu}^{++}$ . Thus, we substitute for  $\nu_Q$  in Eq. (1) the experimental result, calculate  $\nu_Q^{ion}$  (1. term in Eq. (1)) for point charge lattice using as usually the Evjen method<sup>2</sup>, substituting the result into Eq. (1), and deduce then (solving Eq. (1) for the unknown  $q^{val}$ )  $\nu_Q^{val}$  or  $q^{val}$  for  $\text{Cu}_2\text{O}$  and  $\text{La}_2\text{CuO}_4$  (of course,  $q^{val} \approx 0$ , for  $\text{Cu}_2\text{O}$ ). After this procedure, we calculate the corrections for  $q^{val}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-y}$  by taking into account the different atomic- and electronic structure of this high  $T_c$ -compound. Details are discussed by Garcia et al.<sup>2</sup>. Combining this with the direct calculation of  $\nu_Q^{ion}$ , we obtain results for  $\nu_{Q1}$  and  $\nu_{Q2}$  in (123)-systems which are given in the table. In calculating  $q^{val}$ , Eq. (3) has been used. the z-axis of the EFG tensor is deduced from the largest component  $V_{ii}$  of the EFG. Also, we calculate

$$\eta = (V_{xx} - V_{yy})/V_{zz} \quad (4)$$

using the approximation  $q_{xx}^{val} = q_{yy}^{val} = -\frac{q_{zz}^{val}}{2}$ .

In order to illustrate how we determine the NQR-frequencies in detail, we discuss the determination of  $\nu_{Q1}$  of Cu(1) in  $\text{YBa}_2\text{Cu}_3\text{O}_6$ . Since  $\text{Cu}_2\text{O}$  is ionic, we expect  $q^{val} \approx 0$ . Actually, calculating  $\nu_Q^{ion} = (e^2Q/2)(1 - \gamma_\infty)q_{zz}^{ion}$  by using the Evjen method for the calculation of the EFG due to the lattice of ionic charges, we find in agreement with experiment that  $\nu_{Q1} \approx \nu_Q^{ion}$  in  $\text{Cu}_2\text{O}$ . Since the atomic environment of Cu(1) in  $\text{YBa}_2\text{Cu}_3\text{O}_6$  is nearly the same as of Cu in  $\text{Cu}_2\text{O}$ , we use also  $q^{val} \approx 0$  in  $\text{YBa}_2\text{Cu}_3\text{O}_6$ . In addition we calculate  $\nu_Q^{ion}$  using the Evjen method. Then, assuming that  $\gamma_\infty$  is the same in both compounds, one gets

$$\nu_{Q1}(123) \approx \nu_Q(\text{Cu}_2\text{O}) \{q_{zz}^{ion}(123)/q_{zz}^{ion}(\text{Cu}_2\text{O})\}$$

Substituting the experimental result for  $\nu_Q(\text{Cu}_2\text{O})$  and the results for  $q_{zz}^{ion}$  we obtain

$$\nu_{Q1}(123) = 31.5\text{MHz} \text{ (exp : 30.1)}$$

Furthermore, one gets  $\eta_1 = 0$  and  $z||c$ .

The other NQR frequencies are similarly determined.  $\nu_{Q2}$  for  $\text{Cu}^{++}(2)$  in  $\text{YBa}_2\text{Cu}_3\text{O}_6$  is calculated by assuming that the atomic environment of Cu(2) in  $\text{La}_2\text{CuO}_4$  and (123)-systems is nearly the same. Thus, in lowest approximation  $q^{val}$  determined for  $\text{La}_2\text{CuO}_4$  from

$$\nu_{Q2}^{val} = \nu_{Q2}^{exp}(\text{La}_2\text{CuO}_4)$$

is also used for  $\text{YBa}_2\text{Cu}_3\text{O}_6$ . ( $\nu_Q^{val} \equiv (1 + \frac{\eta^2}{3})^{1/2} \cdot q^{val}$ ). Then, using Tight-binding theory we correct  $q^{val}$  for (123)-compound, due to Cu-O bond-length differences. This correction changes  $\nu_{Q2}$  from 29.6 MHz to 22 MHz, which agrees well with the experimental result. The calculation of  $\nu_{Q1}$  and  $\nu_{Q2}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_7$  proceeds in the same spirit. Here, we use also Eq. (3) for calculating how differences in  $\eta_h$  for  $\text{La}_2\text{CuO}_4$  and  $\text{YBa}_2\text{Cu}_3\text{O}_7$  change the NQR-frequencies<sup>2</sup>. Note, the shift of  $\nu_{Q2}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_7$  expected for  $B_{Hf} \neq 0$  is neglected. This is presumably justified, since effectively  $B_{Hf}^{eff} \rightarrow 0$  due to fluctuations of the Cu-magnetic moments.

Note, the theory explains well the transition from  $\nu_{Q1} > \nu_{Q2}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_6$  to  $\nu_{Q1} < \nu_{Q2}$  observed for  $\text{YBa}_2\text{Cu}_3\text{O}_7$ . Discrepancy between theory and experiment with respect to  $\eta$  for  $\text{YBa}_2\text{Cu}_3\text{O}_7$  results from the approximation used for  $V_{ii}^{val}$ .

The temperature dependence<sup>3</sup>, s. Fig. 2, of  $\nu_{Qi}(T)$  is presently not well understood. Note, in particular  $\nu_{Q1} \rightarrow 0$  for  $T \rightarrow T_c$ , which is rather anomalous.

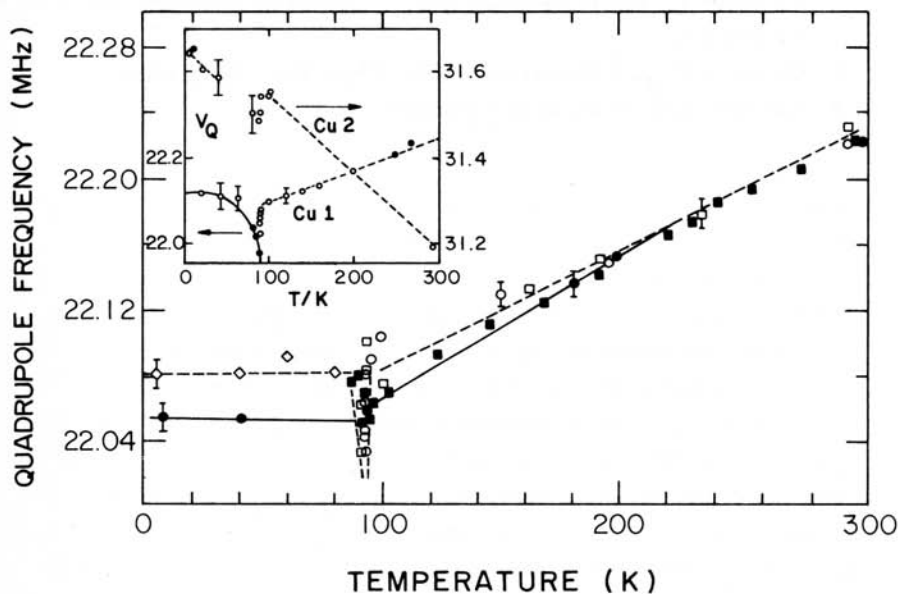


Fig. 2: Temperature-dependence of the NQR-frequency  $\nu_Q(T)$  for Cu(1) and Cu(2)-sites of  $^{63}\text{Cu}$  in  $\text{YBa}_2\text{Cu}_3\text{O}_7$ . Note the drastic temperature-dependence near the superconducting transition temperature  $T_c$ . (Results refer to recent experiments by H. Riesemeier et al., and D. Brinkmann et al., to be published.)

Since charge- fluctuations affect EFG,  $V_{ij} \rightarrow (V_{ij}/\epsilon(\omega))$ ,  $\epsilon$  denotes the dielectric constant, it might be that important physics<sup>1,3</sup> is revealed by this behaviour. Possibly,  $\epsilon \rightarrow \infty$  is caused by a phase transition (due to oxygen displacements, reordering or ferroelectricity(?)).

## References

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- 2 Garcia M.E., and Bennemann, K.H., Phys. Rev. B40, (1989).
- 3 Riesemeier, H. et al., to be published.