

Home Search Collections Journals About Contact us My IOPscience

# Controlling the transport of an ion: classical and quantum mechanical solutions

This content has been downloaded from IOPscience. Please scroll down to see the full text.

2014 New J. Phys. 16 075007

(http://iopscience.iop.org/1367-2630/16/7/075007)

View the table of contents for this issue, or go to the journal homepage for more

Download details:

IP Address: 141.51.38.6

This content was downloaded on 30/10/2014 at 11:23

Please note that terms and conditions apply.

# **New Journal of Physics**

The open access journal at the forefront of physics

Deutsche Physikalische Gesellschaft DPG | IOP Institute of Physics

# Controlling the transport of an ion: classical and quantum mechanical solutions

H A Fürst<sup>1</sup>, M H Goerz<sup>2</sup>, U G Poschinger<sup>1</sup>, M Murphy<sup>3</sup>, S Montangero<sup>3</sup>, T Calarco<sup>3</sup>, F Schmidt-Kaler<sup>1</sup>, K Singer<sup>1</sup> and C P Koch<sup>2</sup>

<sup>1</sup> QUANTUM, Institut für Physik, Universität Mainz, D-55128 Mainz, Germany

<sup>2</sup> Theoretische Physik, Universität Kassel, Heinrich-Plett-Straße 40, D-34132 Kassel, Germany <sup>3</sup> Institut für Quanteninformationsverarbeitung, Universität Ulm, D-89081 Ulm, Germany

E-mail: christiane.koch@uni-kassel.de

Received 15 December 2013, revised 3 April 2014 Accepted for publication 21 May 2014 Published 9 July 2014 New Journal of Physics 16 (2014) 075007

doi:10.1088/1367-2630/16/7/075007

## **Abstract**

The accurate transport of an ion over macroscopic distances represents a challenging control problem due to the different length and time scales that enter and the experimental limitations on the controls that need to be accounted for. Here, we investigate the performance of different control techniques for ion transport in state-of-the-art segmented miniaturized ion traps. We employ numerical optimization of classical trajectories and quantum wavepacket propagation as well as analytical solutions derived from invariant based inverse engineering and geometric optimal control. The applicability of each of the control methods depends on the length and time scales of the transport. Our comprehensive set of tools allows us make a number of observations. We find that accurate shuttling can be performed with operation times below the trap oscillation period. The maximum speed is limited by the maximum acceleration that can be exerted on the ion. When using controls obtained from classical dynamics for wavepacket propagation, wavepacket squeezing is the only quantum effect that comes into play for a large range of trapping parameters. We show that this can be corrected by a compensating force derived from invariant based inverse engineering, without a significant increase in the operation time.

Content from this work may be used under the terms of the Creative Commons Attribution 3.0 licence.

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Keywords: coherent control, ion traps, quantum information, optimal control theory

#### 1. Introduction

Control methods are key enabling techniques in many current physical processes, both classical and quantum mechanical. They can be employed to ensure high accuracy, speed, or robustness with respect to imperfections. A vast range of theoretical tools exist, both analytical and numerical. Which method is best applicable to a specific control problem is often not obvious. An important example where the question for the best adapted control tool arises is the transport of an ion in a segmented trap, a basic ingredient for trapped ion based quantum technologies.

Trapped laser-cooled ions represent a versatile experimental platform offering near-perfect control and tomography of a few body system in the classical and quantum domain [1–4]. The fact that both internal (qubit) and external (normal modes of oscillation) degrees of freedom can be manipulated in the quantum regime allows for many applications in the fields of quantum information processing and quantum simulation [5–7]. Currently, a significant research effort is devoted to scaling these experiments up to larger numbers of qubits. A promising technology to achieve this goal are *microstructured segmented ion traps*, where small ion groups are stored in local potentials and ions are shuttled within the trap by applying suitable voltage ramps to the trap electrodes [8]. In order to enable scalable experiments in the quantum domain, these shuttling operations have to be performed such that the required time is much shorter than the timescales of the relevant decoherence processes. At the same time, one needs to avoid excitation of the ion's motion after the shuttling operation. To this aim theoretical proposals for achieving smooth transport trajectories have been brought forward [9, 10]. In order to obtain results directly for the electrode voltages, that respect the corresponding experimental boundary conditions, the use of advanced control techniques is required.

Adiabatic ion shuttling operations in a segmented trap have been demonstrated in [11]. Recent experiments have achieved non-adiabatic shuttling of single ions within a few trap oscillation cycles while retaining the quantum ground state of motion [12, 13]. This was made possible by finding 'sweet spots' in the shuttling time or removal of the excess energy accumulated during the shuttling by kicks of the trap potential. Given the experimental constraints, it is natural to ask what the speed limitations for the shuttling process are. The impact of quantum effects for fast shuttling operations, i.e., distortions of the wavepacket, also need to be analyzed, and it needs to be assessed whether quantum control techniques [14–16] may be applied to avoid these. Moreover, from a control-theoretical perspective and in view of possible future application in experiment, it is of interest to analyze how optimized voltage ramps can be obtained. Optimal control theory (OCT) combined with classical equations of motion was employed in [17] to obtain optimized voltage ramps. Quantum effects were predicted not to play a role unless the shuttling takes place on a timescale of a single oscillation period. In [18, 19], control techniques such as inverse engineering were applied to atomic shuttling problems. The transport of atomic wavepackets in optical dipole potentials was investigated using OCT with quantum mechanical equations of motion [20–22].

The purpose of the present paper is to assess available optimization strategies for the specific problem of transporting a single ion in a microchip ion trap and to utilize them to study the quantum speed limit for this process [23, 24], i.e., to determine the shortest possible time for

the transport. Although parameters of the trap architecture of [25] are used throughout the entire manuscript, we strongly emphasize that the qualitative results we obtain hold over a wide parameter regime. They are thus generally valid for current segmented ion traps, implemented with surface electrode geometry [25, 26] or more traditional multilayer geometry. We find the applicability of each of the control methods to strongly depend on the dynamical regime of the transport.

The paper is organized as follows. We start by outlining the theoretical framework in section 2. In particular we review the combination of numerical optimization with classical dynamics in section 2.2 and with wavepacket motion in section 2.3. Analytical solutions to the control problem, obtained from the harmonic approximation of the trapping potential, are presented in sections 2.5 and 2.6. Section 3 is devoted to the presentation and discussion of our results. The control solutions for purely classical dynamics of the ion, obtained both numerically and analytically, yield a minimum transport duration as shown in section 3.1. We discuss in section 3.2, how far these solutions correspond to the quantum speed limit. Our results obtained by invariant based inverse engineering are presented in section 3.3, and we analyze the feasibility of quantum optimal control in section 3.4. Section 4 concludes our paper.

# 2. Methods for trajectory control and wavepacket propagation

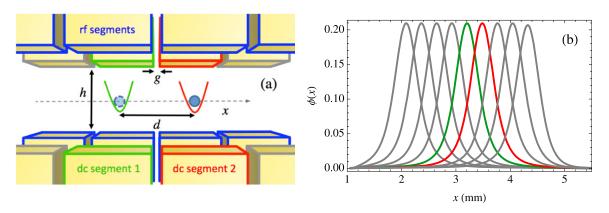
In the following we present the numerical methods we employ to control the transport of a single trapped ion. Besides numerical optimization describing the motion of the ion either with classical mechanics or via wavepacket propagation, we also utilize two analytical methods. This is made possible by the trap geometry which leads to an almost perfectly harmonic trapping potential for the ion at all times.

# 2.1. Prerequisites

We model a linear Paul trap, assuming confinement of the ion in the radial direction (y and z) due to an oscillating quadrupole potential. The axial confinement is realized by an electrostatic potential along the trap axis x, as illustrated in figure 1. Further details on describing the trap can be found in [27]. The confinement enables us to treat the dynamics only along the x dimension. We consider transport of a single ion with mass m between two neighboring electrodes, which give rise to individual potentials centered at  $x_1$  and  $x_2$ . This may be scaled up to N electrodes and longer transports without any loss of generality. The axial motion of the ion is controlled by a time-dependent electrostatic potential,

$$V(x, t) = U_1(t)\phi_1(x) + U_2(t)\phi_2(x), \tag{1}$$

with segment voltages  $U_i(t)$ , and normal electrode potentials on the trap axis,  $\phi_i(x)$ . They are dimensionless electrostatic potentials obtained with a bias of +1 V at electrode i and the remaining electrodes grounded (see figure 1(b)). These potentials are calculated by using a fast multipole boundary element method [27] for the trap geometry used in recent experiments [12] and shown in figure 1. In order to speed up numerics and obtain smooth derivatives, we calculate values for  $\phi_i(x)$  on a mesh and fit rational functions to the resulting data. The spatial derivatives  $\phi_i'(x)$  and  $\phi_i''(x)$  are obtained by differentiation of the fit functions. Previous experiments have shown that the calculated potentials allow for the prediction of ion positions



**Figure 1.** (a) Ion shuttling in a segmented linear trap. The dc electrodes (gray, green, red) form the axial potential for the ion transport along the x-axis. The rf electrodes (blue) ensure confinement of the ions along the x-axis. (b) Axial electrode potentials formed by applying a dc voltage to a facing pair of trap segments. For the specific scenario presented in this manuscript, we use  $d = 280 \, \mu \text{m}$ ,  $g = 30 \, \mu \text{m}$  and  $h = 500 \, \mu \text{m}$ . Each potential is generated from a single pair of segments, depicted in red in (a) and biased to 1 V with all the other dc electrodes grounded.

and trap frequencies with an accuracy of one per cent [28, 29] which indicates the precision of the microtrap fabrication process. An increase in the precision can be achieved by calibrating the trapping potentials using resolved sideband spectroscopy. This is sufficient to warrant the application of control techniques as studied here. For the geometry of the trap described in [12], we obtain harmonic trap frequencies of about  $\omega = 2\pi \cdot 1.3$  MHz with a bias voltage of -7 V at a single trapping segment. The individual segments are spaced  $280\,\mu\text{m}$  apart. Our goal is to shuttle a single ion along this distance within a time span on the order of the oscillation period by changing the voltages  $U_1$  and  $U_2$ , which are supposed to stay within a predetermined range that is set by experimental constraints. We seek to minimize the amount of motional excitation due to the shuttling process.

# 2.2. Numerical optimization with classical dynamics

Assuming the ion dynamics to be well described classically, we optimize the time dependent voltages in order to reduce the amount of transferred energy. This corresponds to minimizing the functional *J*,

$$J = (E(T) - E_{\rm T})^2 + \sum_{i} \int_0^T \frac{\lambda_a}{S(t)} \Delta U_i(t)^2 dt,$$
 (2)

i.e., to minimize the difference between desired energy  $E_T$  and the energy E(T) obtained at the final time T. In the above equation,  $\Delta U_i(t) = U_i^{n+1}(t) - U_i^n(t)$  is the update of each voltage ramp in an iteration step n, and the second term in equation (2) limits the overall change in the integrated voltages during one iteration. The weight  $\lambda_a$  is used to tune the convergence and limit the updates. To suppress updates near t=0 and t=T the shape function  $S(t) \ge 0$  is chosen to be zero at these points in time. For a predominantly harmonic confinement, a measure of the axial energy of the ion in the harmonic approximation of equation (1) is given by

$$E(T) = \frac{1}{2}m\dot{x}^{2}(T) + \frac{1}{2}m\omega^{2}(x(T) - x_{2})^{2}.$$
 (3)

In order to obtain transport without motional excitation, we choose  $E_T = 0$ . Evaluation of equation (3) requires solution of the classical equation of motion. It reads

$$\ddot{x}(t) = -\frac{1}{m} \left. \frac{\partial}{\partial x} V(x, t) \right|_{x=x(t)} = -\frac{1}{m} \sum_{i=1}^{2} U_i(t) \phi_i'(x(t))$$
 (4)

for a single ion trapped in the potential of equation (1) and is solved numerically using a *Dormand–Prince Runge–Kutta* integrator [27]. Employing Krotov's method for optimal control [30] together with the classical equation of motion, equation (4), we obtain the following iterative update rule:

$$\Delta U_i(t) = -\frac{S(t)}{\lambda_a} p_2^{(n)}(t) \phi_i'(x^{(n+1)}(t)), \tag{5}$$

where n denotes the previous iteration step.  $\mathbf{p} = (p_1, p_2)$  is a costate vector which evolves according to

$$\dot{\mathbf{p}}(t) = -\left(\frac{p_2}{m}V''\left(U_i(t), x(t)\right)\right), \tag{6}$$

with its 'initial' condition defined at the final time T:

$$\mathbf{p}(T) = -2 m \left( E(T) - E_{\mathrm{T}} \right) \begin{pmatrix} \omega^2 \left( x(T) - x_2 \right) \\ \dot{x}(T) \end{pmatrix}. \tag{7}$$

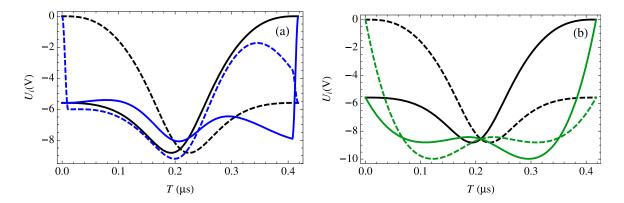
The algorithm works by propagating x(t) forward in time, solving equation (4) with an initial guess for  $U_i(t)$  and iterating the following steps until the desired value of J is achieved:

- (i) Obtain  $\mathbf{p}(T)$  according to equation (7) and propagate  $\mathbf{p}(t)$  backwards in time using equation (6).
- (ii) Update the voltages according to equation (5) at each time step while propagating x(t) forward in time with the immediately updated voltages.

The optimization algorithm shows rapid convergence and brings the final excitation energy E(T) as close to zero as desired. An example of an optimized voltage ramp is shown in figure 2(a). The voltages obtained are not symmetric under time reversal in contrast to the initial guess. This is rationalized by the voltage updates occurring only during forward propagation which breaks the time reversal symmetry. We find this behavior to be typical for the Krotov algorithm combined with the classical equation of motion.

# 2.3. Numerical optimization of wavepacket propagation

When quantum effects are expected to influence the transport, the ion has to be described by a wave function  $\Psi(x, t)$ . The control target is then to perfectly transfer the initial wavefunction, typically the ground state of the trapping potential centered around position  $x_1$ , to a target wavefunction, i.e., the ground state of the trapping potential centered around position  $x_2$ . This is achieved by minimizing the functional



**Figure 2.** Control voltages applied to electrodes for transporting a  $^{40}\text{Ca}^+$  ion from electrode 1 (solid lines) to electrode 2 (dashed lines) within 418 ns for an initial trap frequency of  $\omega = 2\pi \cdot 1.3 \,\text{MHz}$ : initial guess voltage ramps (a and b, black) and ramps obtained by classical optimization (a, blue) and the invariant based inverse engineering (b, green).

$$J = 1 - \left| \int_{-\infty}^{\infty} \Psi(x, T)^* \Psi^{\text{tgt}}(x) \, dx \right|^2 + \int_{0}^{T} \frac{\lambda_a}{S(t)} \sum_{i} \Delta U_i(t)^2 \, dt \,. \tag{8}$$

Here,  $\Psi(x, T)$  denotes the wave function of the single ion propagated with the set of voltages  $U_i(t)$ , and  $\Psi^{tgt}(x)$  is the target wave function. The voltage updates  $\Delta U_i(t)$ , scaling factor  $\lambda_a$  and shape function S(t) have identical meanings as in section 2.2.  $\Psi(x, T)$  is obtained by solving the time-dependent Schrödinger equation (TDSE),

$$i\hbar\frac{\partial}{\partial t}\Psi(x,t) = \hat{\mathsf{H}}(t)\Psi(x,t) = \left(-\frac{\hbar^2}{2\,m}\frac{\mathsf{d}^2}{\mathsf{d}x^2} + \sum_{i=1}^N U_i(t)\,\phi_i(x)\right)\Psi(x,t). \tag{9}$$

As in the classical case, optimization of the transport problem is tackled using Krotov's method [14, 16]. The update equation derived from equation (8) is given by

$$\Delta U_i(t) = \frac{S(t)}{\lambda_a} \Im \int_{-\infty}^{\infty} \chi^n(x, t)^* \phi_i(x) \Psi^{n+1}(x, t) dx, \qquad (10)$$

with n denoting the iteration step.  $\chi(x, t)$  is a costate wave function obeying the TDSE with 'initial' condition

$$\chi(x,T) = \left[ \int_{-\infty}^{\infty} (\Psi(x,T))^* \Psi^{\text{tgt}}(x) \, \mathrm{d}x \right] \Psi^{\text{tgt}}(x,T). \tag{11}$$

Optimized voltages  $U_i(t)$  are obtained similarly to section 2.2, i.e., one starts with the ground state, propagates  $\Psi(x, t)$  forward in time according to equation (9), using an initial guess for the voltage ramps, and iterates the following steps until the desired value of J is achieved:

- (i) Compute the costate wave function at the final time T according to equation (11) and propagate  $\chi(x, t)$  backwards in time, storing  $\chi(x, t)$  at each timestep.
- (ii) Update the control voltages according to equation (10) using the stored  $\chi(x, t)$ , while propagating  $\Psi(x, t)$  forward using the immediately updated control voltages.

Equations (10) and (11) imply a sufficiently large initial overlap between the wave function, which is forward propagated under the initial guess, and the target state in order to obtain a reasonable voltage update. This emphasizes the need for good initial guess ramps and illustrates the difficulty of the control problem when large phase space volumes need to be covered. The limitation of a sufficiently good initial guess may possibly be overcome using gradient-free optimization tools such as those of [31, 32], based on the simplex method. To solve the TDSE numerically, we use the Chebshev propagator [33] in conjunction with a Fourier grid [34, 35] for efficient and accurate application of the kinetic energy part of the Hamiltonian. Denoting the transport time by T and the inter-electrode spacing by d, the average momentum during the shuttling is given by  $\bar{p} = md/T$ . Typical values of these parameters yield a phase space volume of  $d \cdot \bar{p}/h \approx 10^7$ . This requires the numerical integration to be extremely stable. In order to ease the numerical treatment, we can exploit the fact that the wavefunction's spatial extent is much smaller than d and most excess energy occurs in the form of classical oscillations. This allows for propagating the wave function on a small moving grid that extends around the instantaneous position and momentum expectation values [27]. The details of our implementation combining the Fourier representation and a moving grid are described in appendix A.

# 2.4. Initial guess voltages

Any optimization, no matter whether it employs classical or quantum equations of motion, starts from an initial guess. For many optimization problems, and in particular when using gradient-based methods for optimization, a physically motivated initial guess is crucial for success of the optimization [36]. Here, we design the initial guess for the voltage ramps such that the ion is dragged from position  $x_1$  to  $x_2$  in a smooth fashion. The details on how to obtain the initial guess voltages are explained in appendix B. An example is shown in figure 2. If the electrode potentials have translational symmetry, i.e.,  $\phi_j(x) = \phi_i(x+d)$ , then  $U_1^0(t) = U_2^0(T-t)$ . This condition is approximately met for sufficiently homogeneous trap architectures.

## 2.5. Geometric optimal control

Most current ion traps are fairly well described by a simple harmonic model,

$$V(x, t) = -u_1(t) \frac{1}{2} m\omega_0^2 (x - x_1)^2 - u_2(t) \frac{1}{2} m\omega_0^2 (x - x_2)^2,$$
(12)

where  $\omega_0$  is the trap frequency and  $u_i$  are dimensionless control parameters which correspond to the electrode voltages. Since the equations of motion can be solved analytically, one can also hope to solve the control problem analytically. One option is given by Pontryagin's maximum principle [18, 37] which allows to determine time-optimal controls. Compared to numerical optimization which always yields local optima, Pontryagin's maximum principle guarantees the optimum to be global.

In general, a cost functional is minimized which depends on the equations of motion and the control. Here we seek to minimize the transport time *T*. The cost functional then is given by

$$J[u_1, u_2] = \int_0^{T_{\min}} dt = T_{\min}, \qquad (13)$$

which is independent of the controls  $u_1$ ,  $u_2$ . The optimization problem is formally equivalent to finding a classical trajectory by the principle of least action. It is captured in terms of a classical control Hamiltonian which depends on the target functional and equations of motion. In our example, it becomes

$$H_c(p_1, p_2, x, v, u_1, u_2) = p_1 v + p_2 (u_1 \cdot (x - x_1) + u_2 \cdot (x - x_2)) \omega_0^2, \tag{14}$$

where the costates  $p_1$ ,  $p_2$  obey the Hamiltonian equations,

$$\dot{p}_1 = -\frac{\partial H_c}{\partial x} \,, \quad \dot{p}_2 = -\frac{\partial H_c}{\partial v} \,. \tag{15}$$

We bound the controls  $u_1$  and  $u_2$  by  $u_{\text{max}}$  which corresponds to the experimental voltage limit. Pontryagin's principle states that  $H_c$  in general becomes maximal for the optimal choice of the control [18, 37]. Since  $H_c$  is linear in  $u_i$  and  $x_1 \le x \le x_2$ ,  $H_c$  becomes maximal depending on the sign of  $p_2$ ,

$$u_1(t) = -u_2(t) = \text{sign}(p_2) u_{\text{max}}.$$
 (16)

Evaluating equation (15) for  $H_c$  of equation (14) leads to

$$\dot{p}_1 = -p_2 \omega_0^2 (u_1 + u_2) \tag{17}$$

$$\dot{p}_{2} = -p_{1}. \tag{18}$$

In view of equation (16), the only useful choice is  $p_2(0) > 0$ . Otherwise the second electrode would be biased to a positive voltage, leading to a repulsive instead of an attractive potential acting on the ion. The equations of motion for the costate thus become

$$\dot{p}_1 = 0 \Rightarrow p_1(t) = c_1 \tag{19}$$

$$\dot{p}_{2} = -p_{1} \Rightarrow p_{2}(t) = p_{2}(0) - c_{1}t. \tag{20}$$

For a negative constant  $c_1$ ,  $p_2$  is never going to cross zero. This implies that there will not be a switch in voltages leading to continuous acceleration. For positive  $c_1$  there will be a zero crossing at time  $t_{\rm sw} = p_2(0)/c_1$ . The optimal solution thus corresponds to a single switch of the voltages. We will analyze this solution and compare it to the solutions obtained by numerical optimization below in section 3.

# 2.6. Invariant based inverse engineering

For quantum mechanical equations of motion, geometric optimal control is limited to very simple dynamics such as that of three- or four-level systems, see e.g. [38]. A second analytical approach that is perfectly adapted to the quantum harmonic oscillator utilizes the Lewis–Riesenfeld theory which introduces dynamical invariants and their eigenstates [39]. This invariant based inverse engineering approach (IEA) has recently been applied to the transport problem [40, 41]. The basic idea is to compensate the inertial force occurring during the transport sequence. To this end, the potential is written in the following form:

$$V(x,t) = -F(t)x + \mathcal{U}(x - \alpha(t)), \tag{21}$$

with  $\mathcal{U}$  an arbitrary function and F and  $\alpha$  fulfilling the constraint

$$\ddot{\alpha}(t) = F(t)/m. \tag{22}$$

We choose  $\alpha(t)$  to be the transport function of section 2.4 and identify  $\mathcal{U}$  in equation (21) with the trapping potential of equation (1), such that F(t) compensates the inertial force given by the acceleration of the trap center. Forced to follow the minimum of the trapping potential instantaneously, an ion in its motional ground state will not be displaced at all and therefore not gain coherent excitation during the transport. For the potential of equation (21), the Hermitian operator

$$\hat{1} = \frac{1}{2m} (p - m\dot{\alpha})^2 + \mathcal{U}(x - \alpha)$$
(23)

fulfills the invariance condition for all conceivable quantum states  $|\Psi(t)\rangle$ :

$$\frac{\mathrm{d}}{\mathrm{d}t} \langle \Psi(t) | \hat{\mathsf{I}}(t) | \Psi(t) \rangle = 0 \quad \Leftrightarrow \quad \frac{\mathrm{d}\hat{\mathsf{I}}}{\mathrm{d}t} = \frac{\partial \hat{\mathsf{I}}}{\partial t} + \frac{1}{\mathrm{i}\hbar} \left[ \hat{\mathsf{I}}(t), \hat{\mathsf{H}}(t) \right] = 0 \tag{24}$$

with  $\hat{H}$  the Hamiltonian of the ion. Note that a more general invariant with further parameters can be derived [42], which allows for additional terms in the potential, used to describe e.g. trap expansions [40]. The requirement for transporting the initial ground state to the ground state of the trap at the final time corresponds to  $\hat{H}$  and  $\hat{I}$  having a common set of eigenfunctions at initial and final time. This is the case for  $\dot{\alpha}(0) = \dot{\alpha}(T) = 0$  [40, 43]. The additional compensating force is generated using the same trap electrodes as for the trapping potential of equation (1) by applying an additional voltage  $\delta U_i$ . For a given transport function  $\alpha(t)$  we therefore have to solve the underdetermined equation,

$$m\ddot{\alpha}(t) = -\phi_1'(x(t))\delta U_1(t) - \phi_2'(x(t))\delta U_2(t),$$
 (25)

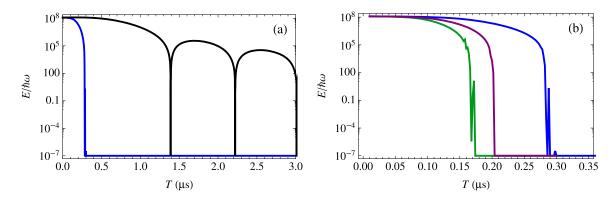
where x(t) is given by the classical trajectory. Since the ion is forced to follow the center of the trap we can set  $x(t) = \alpha(t)$ . The compensating force is supposed to be a function of time only, cf equation (22), whereas changing the electrode voltages by  $\delta U_i$  will, via the  $\phi_i(x)$ , in general yield a position-dependent force. This leads to a modified second derivative of the actual potential:

$$m\omega_{c}(t)^{2} = \sum_{i=1}^{2} \phi_{i}''(\alpha(t)) \left( U_{i}^{0}(t) + \delta U_{i}(t) \right) = m \left( \omega^{2} + \delta \omega(t)^{2} \right), \tag{26}$$

where  $\delta\omega(t)^2$  denotes the change in trap frequency due to the compensation voltages  $\delta U_i$ ,  $\omega$  is the initially desired trap frequency, and  $U_i^0(t)$  is found in equation (B.3). A time-varying actual frequency  $\omega_c(t)$  might lead to wavepacket squeezing. However, since equation (25) is underdetermined, we can set  $\delta\omega(t)^2=0$  leading to  $\omega_c(t)=\omega$  as desired. With this condition we can solve equation (25) and obtain

$$\delta U_{i}(t) = \frac{\ddot{\alpha}(t)(-1)^{i} m \, \phi_{j}^{"}(\alpha(t))}{\phi_{2}^{"}(\alpha(t)) \, \phi_{1}^{'}(\alpha(t)) - \phi_{2}^{'}(\alpha(t)) \, \phi_{1}^{"}(\alpha(t))}, \, i, j \in \{1, 2\}, j \neq i.$$
(27)

Note that equation (27) depends only on the trap geometry. The transport duration T enters merely as a scaling parameter via  $\ddot{\alpha}(t) = \alpha''(s)/T^2$ . An example of a voltage sequence obtained



**Figure 3.** Final energy versus transport time for different voltage ramps and classical dynamics. (a) Shows the improvement over the initial guess (black) by numerical optimization for a maximum voltage of 10 V (blue) and (b) compares the results of numerical optimization for maximum voltages of 10 V (blue), 20 V (purple) and 30 V (green). The spikes in (b) are due to voltage truncation.

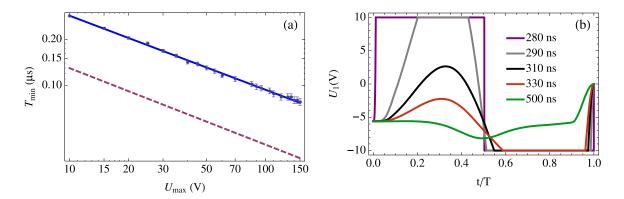
by this method is shown in figure 2(b). The voltage curves are symmetric under time inversion like the guess voltages, that are derived from the same potential functions  $\phi_i(x)$ .

# 3. Application and comparison of the control methods

We now apply the control strategies introduced in section 2 to a scenario with the parameters chosen to correspond to a typical experimental setting. The scaling of the classical speed limit is studied for a fixed maximum control voltage range and we show how in the limiting case the *bang-bang* solution is obtained. To verify the validity of the classical solution we are applying the obtained voltage ramps to a quantum mechanical wave packet propagation. Similarly, we use the invariant based approach and verify the result for a quantum mechanical propagation.

## 3.1. Experimental constraints and limits to control for classical ion transport

In any experiment, there is an upper limit to the electrode voltages that can be applied. It is the range of electrode voltages that limits the maximum transport speed. Typically this range is given by  $\pm 10 \, \text{V}$  for technical reasons. It could be increased by the development of better voltage supplies. We define the minimum possible transport time  $T_{\min}$  to be the smallest time T for which less than 0.01 phonons are excited due to the total transport. To examine how  $T_{\min}$  scales as a function of the maximum electrode voltages  $U_{\max}$ , we have carried out numerical optimization combined with classical equations of motion. The initial guess voltages, cf equations (B.1) and (B.3), were taken to preserve a constant trap frequency of  $\omega = 2\pi \cdot 1.3 \, \text{MHz}$  for a  $^{40}\text{Ca}^+$  ion. The transport ramps were optimized for a range of maximum voltages between  $10-150 \, \text{V}$  and transport times between  $10 \, \text{ns}$  and  $300 \, \text{ns}$  with voltages truncated to  $\pm U_{\max}$  during the updates. The results are shown in figures 3 and 4. Figure 3 depicts the final excitation energy versus transport time, comparing the initial guess (black) to an optimized ramp with  $U_{\max} = 10 \, \text{V}$  (blue) in figure 3(a). Note that we measure the final energy in units of  $\hbar \omega$ , i.e., in terms of the trap excitation, in both classical and quantum cases. This allows for convenient comparison with the requirements for light–matter interaction, e.g. qubit readout or entangling gates. For the initial



**Figure 4.** (a) Minimum transport time  $T_{\min}^{\text{opt}}$  versus maximum electrode voltage  $U_{\max}$ , obtained from numerical optimization of classical transport dynamics (blue dots) along with a fit to equation (28). We also indicate the analytic bang-bang result, equation (31), derived for idealized, purely harmonic potentials (purple dashed line) being proportional to  $1/\sqrt{U_{\max}}$ . The slopes of the curves are clearly similar, indicating the negligible impact of anharmonicities on the scaling of  $T_{\min}^{\text{opt}}$  with  $U_{\max}$ . (b) Optimized voltages for the left electrode with  $U_{\max} = 10$  V: the shorter the transport time, the more the ramp approaches a square shape. The rectangular bang-bang-like solution is attained at T = 280 ns, where the classical control of energy neutral transport breaks down due to an insufficient voltage range.

guess, the final energy displays an oscillatory behavior with respect to the trap period  $(T_{\rm per}=0.769~\mu{\rm s}$  for  $\omega=2\pi\cdot 1.3~{\rm MHz})$  as it has been experimentally observed in [12], and an overall decrease of the final energy for longer transport times. The optimized transport with  $U_{\rm max}=10~{\rm V}$  (blue line in figure 3(a)) shows a clear speed up of energy neutral transport: an excitation energy of less than 0.01 phonons is obtained for  $T_{\rm min}^{\rm opt}=0.284~\mu{\rm s}$  compared to  $T_{\rm min}^{\rm guess}=1.391~\mu{\rm s}$ . The speedup increases with maximum voltage as shown in figure 3(b). The variation of  $T_{\rm min}^{\rm opt}$  on  $U_{\rm max}$  is studied in figure 4(a). We find a functional dependence of

$$T_{\min}^{\text{opt}}\left(U_{\max}\right) \approx a \left(\frac{U_{\max}}{1 \text{ V}}\right)^{-b}$$
 (28)

with  $a = 0.880 (15) \,\mu s$  and b = 0.487 (5). Optimized voltages are shown in figure 4(b) for the left electrode with  $U_{max} = 10 \,\mathrm{V}$ . As the transport time decreases, the voltage ramp approaches a square shape. A bang-bang-like solution is attained at  $T = 280 \,\mathrm{ns}$ . However, for such a short transport time, classical control of energy neutral transport breaks down due to an insufficient voltage range and the final excitation amounts to 5703 mean phonons.

In the following we show that for purely harmonic potentials, the exponent b in equation (28) is universal, i.e., it does not depend on trap frequency nor ion mass. It is solely determined by the bang-bang like optimized voltage sequences, where instantaneous switching between maximum acceleration and deceleration guarantees shuttling within minimum time. The technical feasibility of bang-bang shuttling is thoroughly analyzed in [45]. The solution is obtained by the application of Pontryagin's maximum principle [18, 37] as discussed in section 2.5 and assumes instantaneous switches. Employing equations (16) and (20) the equation of motion becomes

$$\ddot{x} = \omega_0^2 u_{\text{max}} \cdot \begin{cases} d, & t < t_{\text{sw}} \\ -d, & t > t_{\text{sw}} \end{cases}$$
 (29)

This can be integrated to

$$x(t) = \begin{cases} x_1 + u_{\text{max}} d\omega_0^2 t^2, & 0 \le t \le t_{\text{sw}} \\ x_1 + d - u_{\text{max}} d\omega_0^2 (t - T_{\text{min}})^2, & t_{\text{sw}} \le t \le T_{\text{min}} \end{cases}$$
(30)

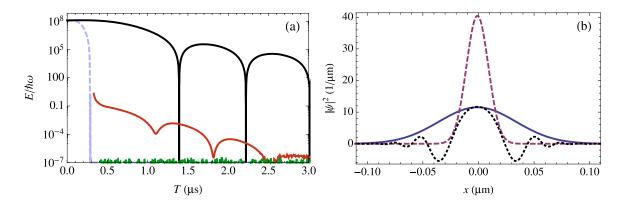
with the boundary conditions  $x(0) = x_1$ ,  $x(T_{\min}) = x_2$  and  $\dot{x}(0) = \dot{x}(T_{\min}) = 0$ . Using the continuity of  $\dot{x}$  and x at  $t = t_{\text{sw}}$ , we obtain

$$t_{\rm sw} = \frac{T}{2}, \quad T_{\rm min} = \frac{\sqrt{2}}{\omega_0} \sqrt{\frac{1}{u_{\rm max}}}.$$
 (31)

Notably, the minimum transport time is proportional to  $u_{\rm max}^{-1/2}$  which explains the behavior of the numerical data shown in figure 4. This scaling law can be understood intuitively by considering that in the bang-bang control approach, the minimum shuttling time is given by the shortest attainable trap period, which scales as  $u_{\rm max}^{-1/2}$ . Assuming a trap frequency of  $\omega_0 = 2\pi \cdot 0.55$  MHz in equation (31), corresponding to a trapping voltage of -1 V for our trap geometry, we find a prefactor  $\sqrt{2}/\omega_0 = 0.41~\mu s$ . This is smaller than  $a = 0.880(15)~\mu s$  obtained by numerical optimization for realistic trap potentials. The difference can be rationalized in terms of the average acceleration provided by the potentials. For realistic trap geometries, the force exerted by the electrodes is inhomogeneous along the transport path. Mutual shielding of the electrodes reduces the electric field feedthrough of an electrode to the neighboring ones. Thus, the magnitude of the accelerating force that a real electrode can exert on the ion when it is located at a neighboring electrode is reduced with respect to a constant force generating harmonic potential with the same trap frequency.

The minimum transport time of  $T_{\rm min}^{\rm opt} = 0.284~\mu {\rm s}$  identified here for  $U_{\rm max} = 10~{\rm V}$ , cf the blue line in figure 3(a), is significantly shorter than operation times realized experimentally. For comparison, an ion has recently been shuttled within 3.6  $\mu {\rm s}$ , leading to a final excitation of  $0.10 \pm 0.01$  motional quanta [12]. Optimization may not only improve the transport time but also the stability with respect to uncertainties in the time. This is in contrast to the extremely narrow minima of the final excitation energy for the guess voltage ramps shown in black in figure 3(a), implying a very high sensitivity to uncertainties in the transport time. For example, for the fourth minimum of the black curve, located at 3.795  $\mu {\rm s}$  and close to the operation time of [12] (not shown in figure 3(a)), final excitation energies of less than 0.1 phonons are observed only within a window of 3 ns. Optimization of the voltage ramps for  $T = 3.351~\mu {\rm s}$  increases the stability against variations in transport time to more than 60 ns.

In conclusion we find that optimizing the classical motion of an ion allows us to identify the minimum operation time for a given maximum voltage and improve the stability with respect to timing uncertainies for longer operation times. The analytical solution derived from Pontryagin's maximum principle is helpful to understand the minimum time control strategy. Numerical optimization accounts for all typical features of realistic voltage ramps. It allows for identifying the minimum transport time, predicting 36.9% of the oscillation period for current maximum voltages and a trap frequency of  $\omega = 2\pi \cdot 1.3$  MHz. This number can be reduced to 12.2% when increasing the maximum voltage by one order of magnitude.

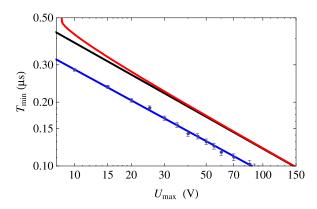


**Figure 5.** Testing control strategies obtained with classical dynamics for wavepacket motion: (a) final excitation energy of the ion wavepacket with the initial guess (black) and the optimized voltage ramps with  $U_{\text{max}} = 10 \,\text{V}$  (red). Also shown is a solution obtained by invariant based inverse engineering for a quantum mechanical harmonic oscillator (green). For comparison, the final excitation energy obtained by solving the classical equation of motion with the optimized ramp is shown in light blue. Note that for the initial guess (black), the relative difference between wavepacket and classical motion is not visible on the scale of the figure (less than  $10^{-3}$ ). (b) Final wavefunction  $|\Psi(T)|^2$  (blue) for classically optimized transport with  $T = 320 \,\text{ns}$  and  $U_{\text{max}} = 10 \,\text{V}$  and target wave function  $|\Psi^{\text{tgt}}|^2$  (purple dashed). Also shown is the scaled real part of the final wavefunction  $\Re e(\Psi(T))$  (black dotted). The clearly visible spread of the wavepacket corresponds to squeezing of the momentum.

However, these predictions may be rendered invalid by a breakdown of the classical approximation.

## 3.2. Validity of classical solutions in the quantum regime

We now employ quantum wavepacket dynamics to test the classical solutions, obtained in section 3.1. Provided the trap frequency is constant and the trap is perfectly harmonic, the wave function will only be displaced during the transport. For a time-varying trap frequency, however, squeezing may occur [44]. Significant squeezing is also expected due to anharmonicities of the potential if a very rapid switching of trap voltages is applied [45]. Note that this effect, caused by the ion's displacement to regions of different local trap frequencies far away from the minimum can be avoided in the IEA per se by ensuring a constant trap frequency for the ion's trajectory. In extreme cases, anharmonicities might also lead to wavepacket dispersion. Since these two effects are not accounted for by numerical optimization of classical dynamics, we discuss in the following at which timescales such genuine quantum effects become significant. To this end, we have employed the optimized voltages shown in figure 4(b) in the propagation of a quantum wavepacket. We compare the results of classical and quantum mechanical motion in figure 5(a), cf the red and lightblue lines. A clear deviation is observed. Also, as can be seen in figure 5(b), the wavefunction fails to reach the target wavefunction for transport times close to the classical limit  $T_{\min}^{\text{opt}}$ . This is exclusively caused by squeezing and can be verified by inspecting the time evolution of the wavepacket in the final potential: we find the width of the wavepacket to oscillate, indicating a squeezed state. No wavepacket dispersion effects are observed; i.e., the final wavepackets are still minimum



**Figure 6.** Minimum transport time  $T_{\rm min}$  versus maximum electrode voltage  $U_{\rm max}$  obtained by the invariant based inverse engineering approach: the compensating force method for a trap frequency of  $\omega = 2\pi \cdot 1.3$  MHz using the transport function of equation (B.1) (red) and for the limiting case of vanishing trap frequency (black). Also shown is the classical result from figure 4 (blue).

uncertainty states, with  $\min(\Delta x \cdot \Delta p) = \hbar/2$ . This means that no effect of anharmonicities in the potential is observed. An impact of anharmonicities is expected once the size of the wavefunction becomes comparable to segment distance d (see figure 1). Then the wavefunction extends over spatial regions in which the potentials deviate substantially from harmonic potentials. For the ion shuttling problem, this effect does not play a role over the relevant parameter regime. The effects of anharmonicities in the quantum regime for trapped ions were thoroughly analyzed in [46]. Squeezing increases  $T_{\min}$  from 0.28  $\mu$ s to 0.86  $\mu$ s for the limit of exciting less than 0.01 phonons, see the red curve in figure 5(a), i.e., it only triples the minimum transport time. We show that squeezing can be suppressed altogether in the following section.

# 3.3. Application of a compensating force approach

In the invariant based IEA, the minimal transport time is determined by the maximum voltages that are required for attaining zero motional excitation. The total voltage that needs to be applied is given by  $U_i(t) = U_i^0(t) + \delta U_i(t)$  with  $U_i^0(t)$  and  $\delta U_i(t)$  found in equations (B.3) and (27). The maximum of  $U_i(t)$ , and thus the minimum in T, is strictly related to the acceleration of the ion provided by the transport function  $\alpha(t)$ , of equation (27). If the acceleration is too high, the voltages will exceed the feasibility limit  $U_{\text{max}}$ . At this point it can also be understood why the acceleration should be zero at the beginning and end of the transport: for  $\ddot{\alpha}(0) \neq 0$  a non-vanishing correction voltage  $\delta U_i \neq 0$  is obtained from equation (27). This implies that the voltages do not match the initial trap conditions, where the ion should be located at the center of the initial potential.

We can derive a transport function  $\alpha(t)$  compliant with the boundary conditions using equation (B.1). For this case, figure 6 shows the transport time  $T_{\min}^{\text{IEA}}$  versus the maximum voltage  $U_{\max}$  that is applied to the electrodes during the transport sequence. For large transport times, the initial guess voltages  $U_i^0(t) \propto \omega^2$  dominate the compensation voltages  $\delta U_i(t) \propto \ddot{\alpha}(t) = \alpha''(s)/T^2$ . This leads to the bend of the red curve. When the trap frequency  $\omega$  is lowered, the bend decreases. For the limiting case of no confining potential  $\omega = U_i^0(t) = 0$ ,  $T_{\min}^{\text{IEA}}$  is solely determined by the

compensation voltages. In this case the same scaling of  $T_{\min}^{\text{IEA}}$  with  $U_{\max}$  as for the optimization of classical dynamics is observed, cf black and blue lines in figure 6. For large  $U_{\max}$ , this scaling also applies to the case of non-zero trap frequency, cf red line in figure 6.

We have tested the performance of the compensating force by employing it in the time evolution of the wavefunction. It leads to near-perfect overlap with the target state with an infidelity of less than  $10^{-9}$ . The final excitation energy of the propagated wave function is shown in figure 5 (green line) for a maximum voltage of  $U_{\rm max}=10~\rm V$ . For the corresponding minimum transport time,  $T_{\rm min}^{\rm IEA}$  (10 V) = 418 ns, a final excitation energy six orders of magnitude below that found by optimization of the classical dynamics is obtained. This demonstrates that the invariant based IEA is capable of avoiding the wavepacket squeezing that was observed in section 3.2 when employing classically optimized controls in quantum dynamics. It also confirms that anharmonicities do not play a role since these would not be accounted for by the IEA-variant employed here. Note that an adaptation of the invariant based IEA to anharmonic traps is found in [41]. Similarly to numerical optimization of classical dynamics, IEA is capable of improving the stability against variations in transport time T. The final excitation energy obtained for  $T=3.351~\mu s$  stays below 0.1 phonons within a window of more than 13 ns.

A further reduction of the minimum transport time may be achieved due to the freedom of choice in the transport function  $\alpha(t)$ , by employing higher polynomial orders in order to reduce the compensation voltages  $\delta U_i(t)$ , cf equation (27). However, the fastest quantum mechanically valid transport has to be slower than the solutions obtained for classical ion motion. This follows from the bang-bang control being the time-optimal solution for a given voltage limit and the IEA solutions requiring additional voltage to compensate the wavepacket squeezing. We can thus conclude that the time-optimal quantum solution will be inbetween the blue and black curves of figure 6.

# 3.4. Feasibility analysis of quantum optimal control

Numerical optimization of the wavepacket motion is expected to become necessary once the dynamics explores spatial regions in which the potential is strongly anharmonic or subject to strongly anharmonic fluctuations. This can be expected, for example, when the spatial extent of the wavefunction is not too different from that of the trap. Such a regime can be reached with trapped electrons in nanoscale Paul traps [47] where the wavefunction is of comparable size to that of a trapped ion but the trap dimension is of the order of a few 100 nm. In the following, we introduce the parameter  $\xi = \sigma_0/d$ , which is the wavefunction size normalized to the transport distance. While for current trap architectures, such a scenario is rather unlikely, further miniaturization might lead to this regime. Also, it is currently encountered in the transport of neutral atoms in tailored optical dipole potentials [48, 49].

Gradient-based quantum OCT requires an initial guess voltage that ensures a finite overlap of the propagated wave function  $\Psi(T)$  with the target state  $\Psi^{\text{tgt}}$ , see equation (11). Otherwise, the amplitude of the co-state  $\chi$  vanishes. The overlap can also be analyzed in terms of phase space volume. For a typical ion trap setting with parameters as in figure 1, the total covered phase space volume in units of Planck's constant is  $md^2\omega/2\pi h \approx 10^7$ . This leads to very slow convergence of the optimization algorithm, unless an extremely good initial guess is available.

We utilize the results of the optimization for classical dynamics of section 3.1 as initial guess ramps for optimizing the wavepacket dynamics and investigate the convergence rate as a

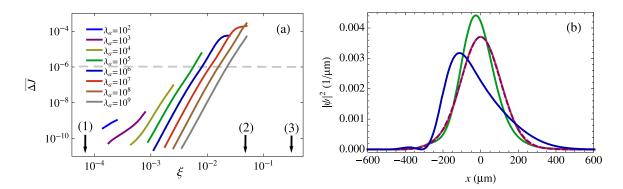


Figure 7. (a) Mean improvement of the optimization functional,  $\overline{\Delta J}$  (averaged over 100 iterations), versus relative size of the wavepacket  $\xi$  for different optimization weights  $\lambda_a$ , cf equation (10), ranging from  $\lambda_a = 10^2$  (leftmost line) to  $\lambda_a = 10^9$  (rightmost line) in powers of ten. The arrows indicate: (1) the parameters corresponding to current trap technology, (2) good convergence of quantum OCT with the invariant based IEA still being valid, (3) fast convergence of quantum OCT with invariant based IEA starting to fail. (b) Final wavefunction amplitudes for  $\xi = 0.4$  (arrow (3) in (a)) and classical optimization (blue, fidelity of 83.8%), IEA (green, 94.6%), quantum OCT (red, fidelity of 99.9%). Also plotted is the target state (purple dashed).

function of the system dimension, i.e., of  $\xi$ . The results are shown in figure 7(a), plotting the mean improvement per optimization step,  $\Delta J$ , averaged over 100 iterations, versus the scale parameter  $\xi$ . We computed the convergence rate  $\overline{\Delta J}$  for different, fixed optimization weights  $\lambda_a$  in equation (10). The curves in figure 7(a) are truncated for large values of  $\overline{\Delta J}$ , where the algorithm becomes numerically unstable. Values below  $\overline{\Delta J}=10^{-6}$  (dashed grey line in figure 7(a)) indicate an insufficient convergence rate for which no significant gain of fidelity is obtained with reasonable computational resources. In this case the potentials are insufficiently anharmonic to provide *quantum* control of the wavefunction.

Numerical optimization of the wavepacket dynamics is applicable and useful for scale parameters of  $\xi \approx 0.05$  and larger, indicated by arrows (2) and (3) in figure 7(a). Then the wavefunction size becomes comparable to the transport distance, leading for example to a phase space volume of around 10 h for arrow (2). At this scale the force becomes inhomogeneous across the wavepacket. This leads to a breakdown of the IEA, as illustrated for  $\xi = 0.4$  in figures 7(b) and 8. The fidelity  $\mathfrak{F}_{\text{IEA}}$  for the IEA drops below 94.6%, whereas  $\mathfrak{F}_{\text{QOCT}} = 0.999$  is achieved by numerical optimization of the quantum dynamics.

# 4. Summary and conclusions

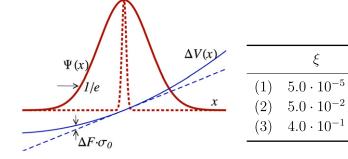
Manipulation of motional degrees of freedom is very widespread in trapped-ion experiments. It represents a challenging control problem due to the different time and length scales involved. Most theoretical calculations involving ion transport over significant distances are based on approximations that in general do not guarantee the level of precision needed for high-fidelity quantum control, especially in view of applications in the context of quantum technologies. As a consequence, before our work little was known about how to apply OCT to large-scale manipulation of ion motion in traps, concerning in particular the most efficient simulation and

 $T_{\rm CPU}(h)$ 

N/A

32.2

4.3



**Figure 8.** Limitation of the compensating force approach. A force inhomogeneity  $\Delta F = \sum_i \left[ \phi_i' \left( \alpha \left( t \right) + \sigma_0 \right) - \phi' \left( \alpha \left( t \right) - \sigma_0 \right) \right] \delta U_i(t)$  across the wavefunctions is caused by anharmonicities of the potential  $\Delta V = F(t)x$  used to implement the compensating force. The relative spread of the force  $\Delta F/F$  across the wavefunction is taken at the point in time, where the acceleration  $\ddot{\alpha}(t)$  is maximal.  $\Delta F/F$  increases to the range of several percent for large wavefunction extents. This leads to a drop in the fidelity  $\mathfrak{F}_{\text{IEA}}$ . Also shown is the fidelity  $\mathfrak{F}_{\text{qOCT}}$  obtained by optimizing the quantum dynamics. The CPU time  $T_{\text{CPU}}$  required for optimization could be easily reduced by a factor of 8 in case (3) compared to case (2) due to the better convergence of quantum OCT in this regime.

 $\Delta F/F$ 

 $2.7 \cdot 10^{-13}$ 

 $2.7\cdot 10^{-4}$ 

 $1.2 \cdot 10^{-1}$ 

 $\mathfrak{F}_{\mathrm{IEA}}$ 

1.000

1.000

0.946

 $\mathfrak{F}_{ ext{qOCT}}$ 

N/A

0.999

0.999

control methods to be employed in different parameter regimes, as well as the level of improvement that optimization could bring.

With this in mind, in the present work we have investigated the applicability of several classical and quantum control techniques for the problem of moving an ion across a trap in a fast and accurate way. When describing the ion dynamics purely classically, numerical optimization yields transport times significantly shorter than a trapping period. The minimum transport duration depends on the maximal electrode voltage that can be applied and was found to scale as  $1/\sqrt{U_{
m max}}$  . The same scaling is observed for time-optimal bang-bang-like solutions that can be derived using Pontryagin's maximum principle and assuming perfectly harmonic traps. Not surprisingly, the classically optimized solutions were found to fail when tested in quantum wavepacket motion for transport durations of about one third of a trapping period. Wavepacket squeezing turns out to be the dominant source of error with the final wavepacket remaining a minimum uncertainty state. Anharmonic effects were found to play no significant role for single-ion shuttling over a wide range of parameters. Wavepacket squeezing can be perfectly compensated by the control strategy obtained with the invariant based IEA. It amounts to applying correction voltages which can be generated by the trapping electrodes and which exert a compensating force on the ion. This is found to be the method of choice for current experimental settings.

Control methods do not only allow to assess the minimum time required for ion transport but can also yield more robust solutions. For transport times that have been used in recent experiments [12], significantly larger than the minimum times identified here, the classical solutions are valid also for the quantum dynamics. In this regime, both numerical optimization of classical ion motion and the IEA yield a significant improvement of stability against uncertainties in transport time. Compared to the initial guess voltages, the time window within which less than 0.1 phonons are excited after transport is increased by a factor of twenty for numerical optimization and a factor of five for the IEA.

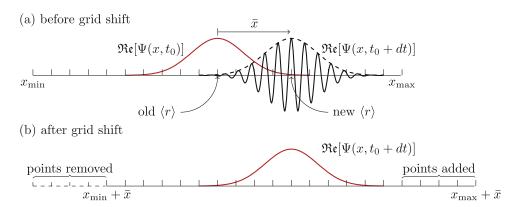
Further miniaturization is expected to yield trapping potentials where the wavepacket samples regions of space in which the potential, or potential fluctuations, are strongly anharmonic. Also, for large motional excitations, recent experiments have shown nonlinear Duffing oscillator behavior [50], nonlinear coupling of modes in linear ion crystals [51, 52] and amplitude dependent modifications of normal modes frequencies and amplitude due to nonlinearities [53]. In these cases, numerical optimization of the ion's quantum dynamics presents itself as a well-adapted and efficient approach capable of providing high-fidelity control solutions.

The results presented in this paper provide us with a systematic recipe, based on a single parameter (the relative wave packet size  $\xi$ ), to assess which simulation and control methods are best suited in different regimes. We observe a crossover between applicability of the invariant based IEA, for a very small wavefunction extension, and that of quantum OCT, when the width of the wave function becomes comparable with the extension of the potential. Both methods combined cover the full range of conceivable trap parameters. That is, no matter what are the trapping parameters, control solutions for fast, high-fidelity transport are available. In particular, in the regime  $\xi \ll 1$ , relevant for ion transport in chip traps, solutions obtained with the IEA are fully adequate for the purpose of achieving high-fidelity quantum operations. This provides a major advantage in terms of efficiency over optimization algorithms based on the solution of the Schrödinger equation. The latter in turn becomes indispensable when processes involving motional excitations inside the trap and/or other anharmonic effects are relevant [54, 55]. In this case, the numerical quantum OCT method demonstrated in this paper provides a comprehensive way to deal with the manipulation of the ions' external states.

To summarize the insight gained from our example of ion transport for the applicability of control tools in more general terms, classical control methods can give a first estimate of a desired quantity, such as the minimum transport time, on the control resources. Corrections by quantum control methods are, however, indispensible to ensure high accuracy. Applicability of the different quantum control methods depends on the dynamics of the system. In our example it was found to be dependent on the phase space volume and the shape of the potential across the wavepacket, respectively.

## **Acknowledgments**

KS, UP, HAF and FSK thank Juan Gonzalo Muga and Mikel Palmero for the discussions about the invariant based approach. HAF thanks Henning Kaufmann for useful contributions to the numerical framework. The Mainz team acknowledges financial support by the Volkswagen-Stiftung, the DFG-Forschergruppe (FOR 1493) and the EU-projects DIAMANT (FP7-ICT), IP-SIQS, the IARPA MQCO project and the MPNS COST Action MP1209. MHG and CPK are grateful to the DAAD for financial support. SM, FSK and TC acknowledge support from EU-projects SIQS, DIAMANT, QUOREP, DIADEMS and PICC and from the DFG SFB/TRR21. MHG, SM, TC and CPK enjoyed the hospitality of KITP and acknowledge support in part by the National Science Foundation under grant no. NSF PHY11-25915.



**Figure A1.** Illustration of the moving grid procedure. The propagation of the wave function  $\Psi(x, t_0)$  for a single time step is shown in (a). The resulting wave function has moved in position and has non-zero momentum. After shifting the grid in coordinate and momentum space, the propagated wave function is now centered on the new grid and has zero momentum (b).

# Appendix A. Quantum wavepacket propagation with a moving Fourier grid

For transport processes using realistic trap parameters, naive application of the standard Fourier grid method [34, 35] will lead to unfeasible grid sizes. This is due to the transport distance being usually three to five orders of magnitude larger than the spatial width of the wavepacket and possible acceleration of the wavepacket requiring a sufficiently dense coordinate space grid. To limit the number of grid points, a *moving grid* is introduced. Instead of using a spatial grid that covers the entire transport distance, the grid is defined to only contain the initial wavepacket, in a window between  $x_{\min}$  and  $x_{\max}$ . The wavepacket  $\Psi(x, t_0)$  is now propagated for a single time step to  $\Psi(x, t_0 + \mathrm{d}t)$ . For the propagated wave function, the expectation value

$$\langle x \rangle = \int_{x_{\min}}^{x_{\max}} \Psi^* (x, t_0 + dt) x \, \Psi (x, t_0 + dt) \, dx \tag{A.1}$$

is calculated, and from that an offset is obtained,

$$\bar{x} = \langle x \rangle - \frac{x_{\text{max}} - x_{\text{min}}}{2},\tag{A.2}$$

by which  $x_{\min}$  and  $x_{\max}$  are shifted. The wavepacket is now moved to the center of the new grid, and the propagation continues to the next time step.

The same idea can also be applied to momentum space. After the propagation step, the expectation value  $\langle k \rangle$  is calculated and stored as an offset  $\bar{k}$ . The wave function is then shifted in momentum space by this offset, which is achieved by multiplying it by  $e^{-i\bar{k}x}$ . This cancels out the fast oscillations in  $\Psi(x, t_0 + dt)$ . When applying the kinetic operator in the next propagation step, the offset has to be taken into account, i.e., the kinetic operator in momentum space becomes  $(k + \bar{k})^2/(2m)$ .

The combination of the moving grid in coordinate and momentum space allows to choose the grid window with the mere requirement of being larger than the extension of the wavepacket at any point of the propagation. We find typically 100 grid points to be sufficient to represent

		Mathematical step	Possible implementation
1.	Calculate position mean	$\langle x \rangle = \langle \Psi   \hat{\mathbf{x}}   \Psi \rangle$	$\langle x \rangle = \sum_{i} x_{i} \Psi_{i}^{*} \Psi_{i}$
2.	Transform to momentum space		$\left\{oldsymbol{arPsi}_i ight\} = \mathcal{FFT}\left(\left\{oldsymbol{arPsi}_i ight\} ight)$
3.	Calculate momentum mean	$\langle p \rangle = \langle \Psi   \hat{p}   \Psi \rangle$	$\langle p \rangle = \sum_{i} \hbar k_{i} \Phi_{i}^{*} \Phi_{i}$
4.	Shift position	$ \Psi\rangle \to \exp\left(\frac{i}{\hbar}\langle x\rangle\hat{p}\right) \Psi\rangle$	$\Phi_i \to \exp\left(ik_i \langle x \rangle\right) \Phi_i$
5.	Transform to position space		$\left\{ \mathbf{arPsi}_{i} ight\} =\mathcal{FFT}^{-1}ig(\left\{ \mathbf{arPsi}_{i} ight\}ig)$
6.	Shift momentum	$ \Psi\rangle \to \exp\left(-\frac{i}{\hbar}\langle p\rangle\hat{\mathbf{x}}\right) \Psi\rangle$	$\Psi_i \to \exp\left(-\frac{i}{\hbar}\langle p\rangle x_i\right)\Psi_i$
7.	Update classical quantities	, ",	$x_{cl} + = \langle x \rangle, p_{cl} + = \langle p \rangle$

**Table A1.** Necessary steps for wavepacket propagation over long distances.

the acceleration within a single time step. The procedure is illustrated in figure A1 and the steps of the algorithm are summarized in table A1.

# Appendix B. Detailed derivation of initial guess voltages

Initial guess voltages that guarantee the ion to be smoothly dragged from position  $x_1$  to  $x_2$  are obtained as follows: the trapping potential V(x, t) can be described by the position of its local minimum  $\alpha(t)$ . Obviously,  $\alpha(t)$  needs to fulfill the boundary conditions  $\alpha(0) = x_1$ ,  $\alpha(T) = x_2$ . In order to ensure smooth acceleration and deceleration of the center of the trap, we also demand  $\dot{\alpha}(0) = \dot{\alpha}(T) = \ddot{\alpha}(0) = \ddot{\alpha}(T) = 0$ . A possible ansatz fulfilling these boundary conditions is given by a polynomial of order 6,

$$\alpha(t) = x_1 + d\left(10 s^3 - 15 s^4 + 6 s^6\right), \tag{B.1}$$

where  $d = x_2 - x_1$  denotes the transport distance and s = t/T is a dimensionless time.

To derive initial guess voltages  $U_i^0(t)$ , we use as a first condition that the local minimum of the potential coincides with  $\alpha(t)$ . Second, we fix the trap frequency  $\omega$  to a constant value throughout the whole shuttling process,

$$\frac{\partial V}{\partial x}\Big|_{x=\alpha(t)} = \phi_1'(\alpha(t)) U_1^0(t) + \phi_2'(\alpha(t)) U_2^0(t) \stackrel{!}{=} 0,$$

$$\frac{\partial^2 V}{\partial x^2}\Big|_{x=\alpha(t)} = \phi_1''(\alpha(t)) U_1^0(t) + \phi_2''(\alpha(t)) U_2^0(t) \stackrel{!}{=} m\omega^2.$$
(B.2)

These equations depend on first and second order spatial derivatives of the electrode potentials. Solving for  $U_1^0(t)$ ,  $U_2^0(t)$ , we obtain

$$U_{i}^{0}(t) = \frac{(-1)^{i} m \omega^{2} \phi_{j}'(\alpha(t))}{\phi_{2}''(\alpha(t)) \phi_{1}''(\alpha(t)) - \phi_{2}'(\alpha(t)) \phi_{1}''(\alpha(t))}, \quad i, j \in \{1, 2\}, \quad j \neq i.$$
(B.3)

#### References

- [1] Cirac I and Zoller P 1995 Phys. Rev. Lett. 74 4091
- [2] Blatt R and Wineland D 2008 Nature 453 1008
- [3] Wineland D, Monroe C, Itano W, Leibfried D, King B and Meekhof D 1998 J. Res. Natl. Inst. Stand. Technol. 103 259
- [4] Casanova J, López C, García-Ripoll J, Roos C and Solano E 2012 Eur. Phys. J. D 66 1-5
- [5] Monz T, Schindler P, Barreiro J T, Chwalla M, Nigg D, Coish W A, Harlander M, Hänsel W, Hennrich M and Blatt R 2011 *Phys. Rev. Lett.* **106** 130506
- [6] Gerritsma R, Lanyon B P, Kirchmair G, Zähringer F, Hempel C, Casanova J, García-Ripoll J J, Solano E, Blatt R and Roos C F 2011 *Phys. Rev. Lett.* **106** 060503
- [7] Richerme P, Senko C, Korenblit S, Smith J, Lee A, Islam R, Campbell W C and Monroe C 2013 *Phys. Rev. Lett.* **111** 100506
- [8] Kielpinsky D, Monroe C and Wineland D J 2002 Nature 417 709
- [9] Reichle R, Leibfried D, Blakestad R B, Britton J, Jost J D, Knill E, Langer C, Ozeri R, Seidelin S and Wineland D J 2006 *Fortschr. Phys.* **54** 666
- [10] Hucul D, Yeo M, Olmschenk S, Monroe C, Hensinger W K and Rabchuk J 2008 *Quantum Inf. Comput.* **8** 501
- [11] Rowe M A et al 2002 Quantum Inf. Comput. 2 257–71
- [12] Walther A, Ziesel F, Ruster T, Dawkins S T, Hettrich M, Singer K, Schmidt-Kaler F and Poschinger U 2012 Phys. Rev. Lett. 109 080501
- [13] Bowler R, Gaebler J, Lin Y, Tan T R, Hanneke D, Jost J D, Home J P, Leibfried D and Wineland D J 2012 *Phys. Rev. Lett.* **109** 080502
- [14] Somlói J, Kazakovski V A and Tannor D J 1993 Chem. Phys. 172 85-98
- [15] Zhu W, Botina J and Rabitz H 1998 J. Chem. Phys. 108 1953-63
- [16] Reich D M, Ndong M and Koch C P 2012 J. Chem. Phys. 136 104103
- [17] Schulz S A, Poschinger U G, Singer K and Schmidt-Kaler F 2006 Prog. Phys. 54 648
- [18] Chen X, Torrontegui E, Stefanatos D, Li J S and Muga J 2011 Phys. Rev. A 84 043415
- [19] Torrontegui E, Ibáñez S, Chen X, Ruschhaupt A, Guéry-Odelin D and Muga J G 2011 *Phys. Rev.* A **83** 013415
- [20] Calarco T, Hinds E A, Jaksch D, Schmiedmayer J, Cirac J I and Zoller P 2000 Phys. Rev. A 61 022304
- [21] De Chiara G, Calarco T, Anderlini M, Montangero S, Lee P J, Brown B L, Phillips W D and Porto J V 2008 *Phys. Rev.* A 77 052333
- [22] Murphy M, Jiang L, Khaneja N and Calarco T 2009 Phys. Rev. A 79 020301
- [23] Giovannetti V, Lloyd S and Maccone L 2003 Phys. Rev. A 67 052109
- [24] Caneva T, Murphy M, Calarco T, Fazio R, Montangero S, Giovannetti V and Santoro G E 2009 *Phys. Rev. Lett.* **103** 240501
- [25] Schulz S A, Poschinger U G, Ziesel F and Schmidt-Kaler F 2008 New J. Phys. 10 045007
- [26] Amini J M, Britton J, Leibfried D and Wineland D J 2011 Microfabricated chip traps for ions *Atom Chips* ed J Reichel and V Vuletić (New York: Wiley) pp 395–420 (arXiv:0812.3907)
- [27] Singer K, Poschinger U, Murphy M, Ivanov P, Ziesel F, Calarco T and Schmidt-Kaler F 2010 *Rev. Mod. Phys.* **82** 2609
- [28] Huber G, Ziesel F, Poschinger U G, Singer K and Schmidt-Kaler F 2010 Appl. Phys. B 100 725
- [29] Brownnutt M, Harlander M, Hänsel W and Blatt R 2012 Appl. Phys. B 107 1125-30
- [30] Konnov A and Krotov V 1999 Autom. Remote Control 60 1427-36
- [31] Doria P, Calarco T and Montangero S 2011 Phys. Rev. Lett. 106 190501
- [32] Caneva T, Calarco T and Montangero S 2011 Phys. Rev. A 84 022326
- [33] Tal-Ezer H and Kosloff R 1984 J. Chem. Phys. 81 3967-71
- [34] Kosloff R 1988 J. Phys. Chem. 92 2087–100

- [35] Kosloff R 1994 Annu. Rev. Phys. Chem. 45 145-78
- [36] Koch C P, Palao J P, Kosloff R and Masnou-Seeuws F 2004 Phys. Rev. A 70 013402
- [37] Pontryagin L et al 1962 The Mathematical Theory of Optimal Processes (New York: Interscience)
- [38] Yuan H, Koch C P, Salamon P and Tannor D J 2012 Phys. Rev. A 85 033417
- [39] Lewis H R and Riesenfeld W B 1969 J. Math. Phys. 10 1458-73
- [40] Torrontegui E, Ibáñez S, Chen X, Ruschhaupt A, Guéry-Odelin D and Muga J G 2011 Phys. Rev. A 83 013415
- [41] Palmero M, Torrontegui E, Guéry-Odelin D and Muga J G 2013 Phys. Rev. A 88 053423
- [42] Lewis H R and Leach P G L 1982 J. Math. Phys. 23 2371-4
- [43] Dhara A K and Lawande S V 1984 J. Phys. A 17 2423
- [44] Scully M O, Chapin K R, Dorfman K E, Kim M B and Svidzinsky A 2011 *Proc. Natl Acad. Sci. USA* 108 15097–100
- [45] Alonso J, Leupold F M, Keitch B C and Home J P 2013 New J. Phys. 15 023001
- [46] Home J, Hanneke D, Jost J, Leibfried D and Wineland D 2011 New J. Phys. 13 073026
- [47] Segal D, Král P and Shapiro M 2007 Isr. J. Chem. 47 105
- [48] Ivanov P A, Vitanov N, Singer K and Schmidt-Kaler F 2010 arXiv:1002.3033
- [49] Walther A, Poschinger U G, Singer K and Schmidt-Kaler F 2012 Appl. Phys. B 107 1061
- [50] Akerman N, Kotler S, Glickman Y, Dallal Y, Keselman A and Ozeri R 2010 Phys. Rev. A 82 061402
- [51] Roos C F, Monz T, Kim K, Riebe M, Häffner H, James D F V and Blatt R 2008 Phys. Rev. A 77 040302
- [52] Nie X R, Roos C F and James D F 2009 Phys. Lett. A 373 422-5
- [53] Home J P, Hanneke D, Jost J D, Leibfried D and Wineland D J 2011 New J. Phys. 13 073026
- [54] Ruster T et al 2014 arXiv:1405.5046
- [55] Kaufman H, Ruster T, Schmiegelow C T, Schmidt-Kaler F and Poschinger U G 2014 arXiv:1403.0097